IANA STEWARDSHIP TRANSITION PROPOSAL

Call for Public Comment
• Overview: IANA and IANA stewardship transition

• Transition proposal
  — NAMES
  — NUMBERS
  — PROTOCOL PARAMETERS

• Questions for public comment

• How to submit comments

• Q&A
What are the IANA functions?

IANA CUSTOMERS MAKE CHANGE REQUESTS

- Names Database
- Numbers Database
- Protocol Database
Roles of NTIA (US Government), ICANN, and IANA
What is the IANA stewardship transition?

- **March 2014** – NTIA announced transition of IANA stewardship
- Asked ICANN to convene a process to develop transition proposal

**NTIA’s Criteria**
- Support and enhance the multistakeholder model
- Maintain the security, stability, and resiliency of the Internet DNS
- Meet the needs and expectation of the global customers and partners of the IANA services
- Maintain the openness of the Internet

**NTIA’s Expectations**
- Broad community support
- Does not replace NTIA role with a government-led or an inter-governmental organization solution
NTIA announced
ICG formed
ICG issued RFP
3 proposals developed
ICG combined proposals
Public comment focused on proposal as a whole
Transition Proposal:

NAMES
What are the IANA functions related to names?
What are the IANA functions related to names?

<table>
<thead>
<tr>
<th>OPERATOR</th>
<th>Hamburg Top Level Domain GmbH</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Gertigstrasse 28, Hamburg, 22303 Germany</td>
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<table>
<thead>
<tr>
<th>CONTACTS</th>
<th>Oliver Joachim Sueme</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>Hamburg Top Level Domain GmbH</td>
</tr>
<tr>
<td></td>
<td>Gertigstrasse 28, Hamburg, 22303 Germany</td>
</tr>
<tr>
<td></td>
<td>Email: <a href="mailto:os@dothamburg.de">os@dothamburg.de</a></td>
</tr>
<tr>
<td></td>
<td>Voice: +49 40 27806736</td>
</tr>
<tr>
<td></td>
<td>Fax: +49 40 380 89 810</td>
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<thead>
<tr>
<th>CONTACTS</th>
<th>Martin Schlicksbier</th>
</tr>
</thead>
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<tr>
<td></td>
<td>TLD BOX Registrydienstleistungen</td>
</tr>
<tr>
<td></td>
<td>Jakob Haringer Strasse 8</td>
</tr>
<tr>
<td></td>
<td>5020 Salzburg</td>
</tr>
<tr>
<td></td>
<td>Austria</td>
</tr>
<tr>
<td></td>
<td>Email: iana@tld box.at</td>
</tr>
<tr>
<td></td>
<td>Voice: +43 662 2345 48730</td>
</tr>
</tbody>
</table>

| TECHNICAL CONFIGURATION | NS a.dns.nic.hamburg (194.0.25.21 2001:678:20:0:0:0:0:21) |
|                        | NS b.dns.nic.hamburg (193.170.10 2001:62a:a:3000:0:0:0:10) |
|                        | DS 53866 8 2 AF2F53F6B523F31C04A741B3B26D27CBAE16F4BA6F... |
|                        | DS 26479 8 1 1C9F5D68C413E8A9A2C8E1C1637B8A4DA2CA6827 |
|                        | DS 26479 8 2 4A48334EF87D7FC156E886E5A2B2682FCF0679E66C... |
|                        | DS 53866 8 1 D26808AE1E19086BCF5FC88D59066C3AD22F2E56 |

| METADATA | http://www.dothamburg.de |
|          | whois.nic.hamburg |
Names proposal overview

Current Contract

- NTIA
- IANA STEWARDSHIP
- IANA FUNCTIONS OPERATOR
- ICANN

Post-transition

- ICANN
- POST-TRANSITION IANA (PTI)
- ICANN BOARD
- IFR
- CSC
- CUSTOMERS

- INITIAL SERVICE ISSUES OR COMPLAINTS
- SECONDARY SERVICE ISSUES OR COMPLAINTS (ESCALATION PATH)
- REVIEWS
- LEGAL SEPARATION
- SPECIAL IFR
- IANA FUNCTION REVIEW
- CUSTOMER STANDING COMMITTEE
**Post-transition IANA (PTI)**

**MISSION**  
Established to perform all the existing (pre-transition) IANA functions.

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**ICANN**  
**BOARD**

**LEGAL SEPARATION**

**POST-TRANSITION IANA (PTI)**

**BOARD**

**CONTRACT**

**IFR**  
IANA FUNCTION REVIEW  
SPECIAL IFR

**CSC**  
CUSTOMER STANDING COMMITTEE
Customer Standing Committee (CSC)

MISSION
Established to perform the operational responsibilities previously performed by the U.S. Government, ensure continued satisfactory performance of the IANA naming function.
IANA Function Review (IFR)

**MISSION**
Established to provide periodic reviews of PTI’s performance to ensure accountability and quality of service.
Dependencies on Enhancing ICANN Accountability

CCWG-Accountability Proposal

- Community rights regarding the development and consideration of the ICANN budget
- Community rights regarding the ICANN Board, specifically, the ability to appoint and remove members, and to recall the entire Board
- IANA Function Review incorporated into the ICANN bylaws
- Customer Standing Committee incorporated into the ICANN bylaws
- Independent Review Panel should be made applicable to IANA Functions and accessible by TLD managers
- All of the foregoing mechanisms are to be provided for in the ICANN bylaws as “fundamental bylaws”
Parallel public comment processes

**July 31 – September 8**
CCWG Public Comment Period

**July 31 – September 8**
ICG Public Comment Period

2015
Transition Proposal:

NUMBERS
What are the IANA functions related to numbers?

- The allocation of blocks of IP and AS Numbers to the Regional Internet Registries
- The registration of such allocations in the IANA Number Registries
- Other related registry management tasks and the administration of the special-purpose DNS zones
Numbers proposal overview

Current

- IANA NUMBERING SERVICES
- RIRs
- NTIA
- ICANN
- CONTRACT (OVERSIGHT OF ALL IANA FUNCTIONS)
- REVIEW PERFORMANCE

Post-transition

- IANA NUMBERING SERVICES
- RIRs
- ICANN
- REVIEW COMMITTEE
- SERVICE LEVEL AGREEMENT *
- REVIEW PERFORMANCE *
- ADVICE

The RIRs have been very satisfied with the performance of ICANN in the role of the IANA Numbering Services Operator, and their communities have expressed a strong desire for stability and a minimum of operational change. The following proposals reflect these factors.

1. ICANN to continue as the IANA Functions Operator for the IANA Numbering Services via a Service Level Agreement (SLA) with the RIRs

2. The rights over any intellectual property related to provision of the IANA services should reside with the community

3. A Review Committee, with representatives from each RIR community, should be formed to advise the RIRs on the IANA functions operator’s performance in meeting identified service levels
Review committee

RIR COMMUNITY REPRESENTATIVES

AFRINIC
ARIN
APNIC
RIPE
LACNIC

COMMUNITY-BASED REVIEW COMMITTEE

RIRS

Review performance

IANA
ICANN

ADVICE
Service level agreement principles

1. Separation of Policy Development and Operational Roles
2. Description of Services Provided to RIRs
3. Obligation to Issue Reports on Transparency and Accountability
4. Security, Performance, and Audit Requirements
5. Review of the IANA Operations
6. Failure to Perform
7. Term and Termination
8. Continuity of Operations
9. Intellectual Property Rights and Rights Over Data
10. Resolution of Disputes
11. Fee
IT IS THE EXPECTATION THAT:

- The number resource registries are in the public domain
- Non-public information related to them be managed by the IANA operator, and transitioned to a successor if necessary
- Rights on non-public information related to them be transferred to the RIRs

IT IS THE PREFERENCE THAT:

- Ownership of the IANA trademark and domain be transferred to the IETF Trust
- All relevant parties agree to these expectations as part of the transition

<table>
<thead>
<tr>
<th>The IANA trademark</th>
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</thead>
</table>

| The IANA.ORG domain name |

| The public databases related to the performance of the IANA Numbering Services, including the IANA Numbers Registries |
Transition Proposal:

PROTOCOL PARAMETERS
What are the IANA functions related to protocol parameters?

Protocols: standardized patterns of communication that computers use on the Internet to be able to “talk” to each other. Examples: HTTP, IP

Protocol parameters: numbers or values that need to be chosen and published so that two computers using an Internet protocol to communicate can understand each other. Example: “404 Not Found” is an HTTP protocol parameter that computers use when a requested page is missing from a website.

Many of the most important protocols that make the Internet work were developed by the Internet Engineering Task Force (IETF).

The IETF protocol parameters are maintained in registries on the web. There are more than 10,000 protocol parameter registries containing hundreds of thousands of protocol parameters. The complete list can be found at: iana.org/protocols

Unlike the DNS, which is referenced by computers in realtime, the protocol registries are referenced by people as needed for activities like writing software.

<table>
<thead>
<tr>
<th>Code</th>
<th>Parameter</th>
<th>Reference</th>
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<tbody>
<tr>
<td>401</td>
<td>Unauthorized</td>
<td>[RFC7233, Section 6.1]</td>
</tr>
<tr>
<td>402</td>
<td>Payment Required</td>
<td>[RFC7231, Section 6.5.2]</td>
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<tr>
<td>403</td>
<td>Forbidden</td>
<td>[RFC7231, Section 6.5.3]</td>
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<tr>
<td>404</td>
<td>Not Found</td>
<td>[RFC7231, Section 6.5.4]</td>
</tr>
<tr>
<td>405</td>
<td>Method Not Allowed</td>
<td>[RFC7231, Section 6.5.5]</td>
</tr>
<tr>
<td>406</td>
<td>Not Acceptable</td>
<td>[RFC7231, Section 6.5.6]</td>
</tr>
<tr>
<td>407</td>
<td>Proxy Authentication Required</td>
<td>[RFC7235, Section 3.7]</td>
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</table>
Protocol parameters proposal overview

Current Contract

IETF

Protocol Specifications and Standards Development Process

IAB

OVERSIGHT

MoU

ANNUAL UPDATES BASED ON

ICANN

IANA

PERFORMANCE METRICS

Post-transition

IETF

Protocol Specifications and Standards Development Process

IAB

OVERSIGHT

MoU

ANNUAL UPDATES BASED ON

ICANN

IANA

PERFORMANCE METRICS

NTIA

OVERSIGHT

CONTRACT
IETF community expectations

The protocol parameters registries are in the public domain.

It is the preference of the IETF community that:

- All relevant parties acknowledge that fact
- If the operation of the protocol parameters registry operator changes at a later time, that all relevant parties will work together to ensure a smooth transition
VISUAL SUMMARY
Combined proposal
QUESTIONS

for Public Comment
Questions about the proposal as a whole

☐ Is the combined proposal complete?

☐ Do the operational community proposals work together in a single proposal?

☐ Do the operational community proposals together include appropriate and properly supported independent accountability mechanisms for running the IANA functions?

☐ Do the results of any tests or evaluations of workability that were included in the operational community proposals conflict with each other or raise possible concerns when considered in combination?
Questions about the NTIA criteria

☐ Do you believe the proposal supports and enhances the multistakeholder model?

☐ Do you believe the proposal maintains the security, stability, and resiliency of the DNS?

☐ Do you believe the proposal meets the needs and expectations of the global customers and partners of the IANA services?

☐ Do you believe the proposal maintains the openness of the Internet?

☐ Do you have any concerns that the proposal is replacing NTIA's role with a government-led or inter-governmental organization solution?

☐ Do you believe that the implementation of the proposal will continue to uphold the NTIA criteria in the future?
How to submit comments

Public comment period:
July 31 to Sept 8

Public comment website:
Insert URL
Q&A